



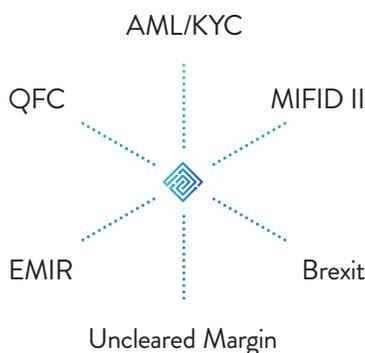
Modernizing Regulatory Change Management

Managing regulatory change in an increasingly complex regulatory environment needs high-quality, skilled specialists and a modern approach.

Financial institutions have been underserved with technology and overwhelmed with commodity resources. Neither option is sustainable for mandating regulatory change—especially through an increasingly complex regulatory market.

We offer high-quality regulatory specialists and competitive pricing to help tier one and two banks plan, resource and deliver major change projects.

Focus Areas



Banks choose us for our:

- Expertise and modern approach to G20 regulatory changes including Brexit, MIFID II, EMIR, QFC, SFTR and Uncleared Margin
- Excellent track record for high quality, specialized short to medium-term resourcing in the front office, IT and operations
- Global cross-product experience at Tier 1 firms including JP Morgan, Citibank and Credit Suisse in NY, London, Frankfurt and Hong Kong
- Murex integration practice managed by former Managing Director with 20+ years experience from large German bank

Expertise

- Brexit program
- FMU on boarding
- New entity/product approvals
- Client outreach and repapering
- QFC project management and client outreach
- Uncleared Margin including client outreach and repapering
- Program management and support of business units front to back
- MIFID II Day 2 including best execution, terms of business and general repapering



Challenge

- Financial Institutions are continuing to invest in mission-critical regulatory-driven initiatives
- The Big 4 consulting firms are considerably more expensive than consultants
- Recruitment has turned into a full-time task for many of our clients. Finding a few good resources can take months of effort
- Many projects are global in nature and resources are needed in the US, Europe and Asia

Vox Response

- Vox will partner with you to ensure your organization has the talent needed to drive regulatory driven change
- We meet your resource requirements from our employees and associate pool
- We are continually recruiting employees and adding to our associate pool
- Our talent acquisition team evaluates large numbers of candidates in order to find the few we hire and propose to you
- Vox has a proven model for finding more experienced staff using our established associate pool and by using our networks, agencies, LinkedIn, Indeed and other local sources

Consultant Training

Our employees receive training on the following modules before being placed with client:



Securities & Investments

Economic Environment
Financial Assets and Markets
Financial Services Regulation



Financial Regulation

The Regulatory Environment
The Financial Services Act 2012
The FCA Conduct of Business



Global Securities Ops

Securities
Main Industry Participants
Settlement Risk



IT & Office Applications

IT Security
Advanced Excel
Advanced Word



Client Specific Training

Client Overview
Business Structure
Org chart
Key Processes
Key Systems